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17497 U.S. PTO  
10/664940  
09/22/03



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	1345.100-US
First Inventor	Stephen M. Dubovsky
Title	Grid-Connected Power Systems Having Back-Up Power Systems & Methods of Providing Back-up Power in Grid-Connected
Express Mail Label No.	

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 50]  
(preferred arrangement set forth below)
 

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 2 ]

5. Oath or Declaration [ Total Pages 52 ]
 

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
 

- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)		<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below
Name	Epstein & Gerken		
Address	1901 Research Boulevard Suite 340		
City	Rockville	State	MD
Country	US	Telephone	301-610-7634
Name (Print/Type)	Karen M. Gerken		Registration No. (Attorney/Agent) 31,161
Signature	<i>Karen M. Gerken</i>		Date 9/18/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

15915 U.S. PTO  
09/22/03

EPSTEIN & GERKEN  
1901 R search Boulevard, Suite 340  
Rockville, Maryland 20850-3164  
(301) 610-7634

Atty Docket No. : 1345.100-US

**BOX: PATENT APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Stephen M. Dubovsky

For: Grid-Connected Power Systems Having Back-Up Power Sources and  
Methods of Providing Back-Up Power in Grid-Connected Power Systems

Enclosed are:

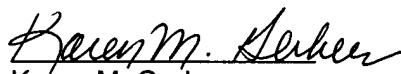
Utility Patent Application Transmittal (PTO/SB/05);  
50 Pages of Specification (Letter Size);  
2 Sheets of Drawings;  
Check No. 1713 in the amount of \$606.00;  
Declaration and Power of Attorney;  
Recordation Form Cover Sheet;  
Assignment;  
Check No. 1714 in the amount of \$40.00; and  
Return Receipt Postcard.

The fee has been calculated as shown below:

TOTAL CLAIMS:	<u>41</u>	-	20	=	21	Extra x \$9 =	\$ 375.00
INDEPENDENT CLAIMS:	<u>4</u>	-	3	=	1	Extra x \$42 =	\$ 189.00
							<u>\$ 42.00</u>
							TOTAL FEE DUE: \$ 606.00

All correspondence regarding this application should be directed to EPSTEIN & GERKEN at the above address.

Respectfully submitted,

  
Karen M. Gerken  
Registration No. 31,161

Hand-Delivered: 9-22-03